

Financial Information Document

In this document we gather the initial information we require to evaluate your current financial position and then model and compare it to your lifestyle financial goals, needs and objectives. This document will form the basis of our initial analysis and quantitative data gathering. You may complete only the basic information below, just enough to allow us to investigate further with your permission.



Demographic Information

Full Names	
ID/Passport No.	
Tax Number	
Residential Address	
Occupation/Profession	
Highest Qualification	
Cellphone No.	
Email Address	

Are you a politically exposed person? No Yes

Are you a resident in another country? No Yes

If yes, which country _____

Are you married or cohabitating? No Yes

If yes, please provide details below:

Marital regime; Details of your Spouse/Partner; Accrual system? Etc.

Do you have any children? No Yes

If yes, please provide their details below:

Full Names	ID number or Date of Birth



Financial Information

Please provide the details of your assets/investments below:

Name	Approx. Value	Institution	Other details
e.g. Unit trust account	R75 000.00	Momentum	Mainly offshore, Acc. No. 54 32 10

Please provide the details of your liabilities/debts below:

Name/Detail	Approx. Outstanding Amount	Interest Rate	Initial Term	Remaining Term
e.g. Nedbank Bond	R750 000.00	8.25%	20 years	15 ½ years

Please provide the details of any life insurance policies below:

Name/Detail	Provider	Cover details
e.g. Life cover policy	Sanlam	R5mil life cover, some disability and funeral cover

What are your main sources of income?

Income Source*	Est. Annual Gross Income	Please provide any details, e.g. Employer and job description
e.g. Employment	R800 000.00	I work as an attorney & earn a salary plus benefits

* Please provide us a document breaking down your income structure, examples would be your latest salary slip, a cost to company breakdown, an income statement, or your tax return. This will provide us with a detailed overview of your source of income and how it is structured.

Do you have a Medical Aid? No Yes

If yes, which provider and option do you make use of?

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Do you have any Group Benefits (retirement fund and/or life cover) with your employer? No Yes

If yes, please provide details below or kindly provide the contact details of your HR department:

Can you provide us with a copy of your personal and/or household budget?

- Yes, I will forward or attach a copy.
- No, please assist provide me with a template to help me draft a budget.
- No, please assist me with drafting a monthly budget.

We would be happy to provide you with a template to assist you in drafting your budget accordingly. Alternatively, you can confidentially provide us with at least 3 months' worth of bank statements so that we can create a draft budget for you.

Do you have a Will in place? No Yes

If yes, please provide us with a copy thereof.
If not, would you like assistance in this regard? No Yes



Goals and Objectives

What are your main financial or lifestyle goals or objectives?

Please provide us with a description and details of any financial goals or objectives that you may have. Kindly elaborate on any timeframes and risk preferences you may have for each objective or goal.

Here we would like to understand both the hard objectives and softer goals that you may have. These can be as broad as "I would like to retire in my 60's with 70% of my current income" or as specific as "I would like to take a 3 week walking holiday in Tibet in 2 ½ years' time which has a current cost of R71 350 per couple".

The better you describe your objectives, needs and goals, the better we can help you plan and structure your financial affairs for the best chance of reaching them. We will however discuss your objectives with you in more detail.

e.g. I would like to retire at the age of 65 with an after-tax income of R35 000 in today's terms. I am not fond of taking on too much risk and prefer to rather save more and invest conservatively.

Which of these Financial Planning categories are important to you?

We will initially focus on the areas you feel most appropriate and pressing. Ideally a general financial plan considers all these categories to some extent.



Intermediary Services



Administration



Cash-Flow & Budgeting



Retirement Planning



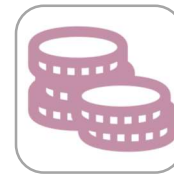
Investment Planning



Asset & Liability Planning



Risk Planning



Tax Planning



Estate Planning



Financial Coaching



Research & Development



Consultation



Entity Connections

Are you a director, member or officer of any corporate entity or institution? No Yes

If yes, please provide details below:

Title/Designation	
Entity Details	
Title/Designation	
Entity Details	

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Are you a beneficiary or trustee of any trust(s)? No Yes

If yes, please provide details below:

Trust name/details	
Purpose of the trust	